OLD E	Please in	pe a plus sign (+) inside this box		+		U.S. Patent and	Approved for use through 10 Trademark Office: U.S. DEPA			
		r the Paperwork Reduction Act of	1995,	, no pers	sons are rec	quired to respond to a collection of in	nformation unless it contains a	valid OMB control number.	I	
STENT &	TENT & RADStitute for form 1449A/PTO					Complete if Known				
	i					Application Number	10/033,526	RECEN		
		INFORMATION DISCL	.os	URE		Filing Date	November 2, 2001	1 1 2000		
		STATEMENT BY APP	LIC	ANT		First Named Inventor	Y. Huang	DEC 3 0	2002	
	Uniter the Paperwork Reduction Act of 1995, no perso With the Paperwork Reduction Act of 1995, no perso INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)					Group Art Unit	1647	DEG 0 0		
		(use as many sheets as ne	cessa	ry)		Examiner Name	C.J. Nichols		CONTOOU	
	Sheet	1	of		1	Attorney Docket Number	UCAL-217	TECH CENTER	DUNIZAN	

	U.S. PATENT DOCUMENTS							
Examin er Initials'	Cite No. ¹	U.S. Pat Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, columns, lines, Where Relevant Passages or Relevant Figures Appear		

	FOREIGN PATENT DOCUMENTS										
Examiner Initials'	Cite No. ¹	Foreign Patent Documents Office ³ Number ⁴ Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	۳					
į											

		OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
CSN		Huang, Y. et al., Apolipoprotein E fragments present in Alzheimer's disease brains induce neurofibrillary tangle-like intracellular inclusions in neurons. PNAS, 2001, Vol. 98, No. 15, pp. 8838-8843					
3		Emilien, G. et al., Alzheimer Disease Mouse Models pabo the way for thrapeutio opportunities. Neurological Review, 2000, Vol. 57, pp. 176-181	_				
دي		Huang, Y. et al., Bioactive fragments of apolipoprotein E induce neurofibrillary tangles in cultured neurons. Society for Neuroscience Abstracts, 2000, Vol. 26, No. 1-2, 202.8					
			 				

Examiner Signature	CALLIAND	Date Considered 1 4/03

*EXAMINER: Initial if reference considered, whether or not chation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.



Please type a plus sign (+) inside this MAR 0 5 2002

MAY 1 4 2002 PTO/SB/08A (08-00)

Approved for use through 10/31/2002, OMB 0651-0031

U.S. Patent and Trademark On the DEA DEFARTAGE 29 GOMMERCE persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449A/PTO **Application Number** 10/033,526 INFORMATION DISCLOSURE Filing Date November 2, 2001 STATEMENT BY APPLICANT First Named Inventor HUANG ET AL. Group Art Unit 1632 (use as many sheets as necessary) To Be Assigned **Examiner Name** UCAL217 2 Attorney Docket Number Sheet 1

				U.S. PATENT DOCU	MENTS	22	
Examiner Initials'	Cite No. ¹	U.S. Patent Documents Number Kind Code ² (If known)		Name of Patentee or Applicant of Cited Documents		Pages, columns, lines, Where Relevant Passages or Relevant Figures Appear	
62		6,046,381		Mucke, et al.	April 4, 2000		
	· .					Q Y QQ	
						ORIGINAL PAPE	
		-				FILED	
1.							

				FC	REIGN PATENT DOCUME	NTS		
Examiner Initials'	Cite No. ¹	Forei Office ³	ign Patent D Number ⁴		Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	-							

+ OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Examiner Cite item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), Initials* Mahley and Huang (1999) Curr. Opin. Lipidol. 10:207-217 4 Dallongeville (1992) J. Lipid Res. 33:447-454 Slooter et al. (1997) JAMA 277 :818-821 Nicoll et al. (1996) Neuropathol. Appl. Neurobiol. 22:515-517 Selkoe (1991) Neuron 6:487-498 Roses, et al. (1994) Curr. Opinion Biotechnol. 5:663-667 Huang et al. (2001) Proc. Natl. Acad. Sci. USA 98:8838-8843 ८क्र



*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature *

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.